

17638 U.S. PTO
08/07/03

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

19730-0004

Named Inventor(s)

L et al.

Title

Structur and Manufacturing M th d for Single-
Wav length and Multi-Wav length Distributed
F dback Lasers

Express Mail Label No.

EV330773015US

17613 U.S. PTO
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APPLICATION ELEMENTS

ADDRESS TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification, Claims,
and Abstract Total Pages 34
4. ☒ Drawings Total Sheets 11
5. Oath or Declaration Total Pages 3
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 19
completed)
 - (i) ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2)
and 1.33(b).
6. ☐ Incorporation by Reference
(usable if Box 5b is checked)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
5b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated
by reference therein.
7. ☐ Nonpublication Request Under 35 U.S.C.
122(b)(2)(B)(i).
☐ Form PTO/SB/35
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

10. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above
copies
11. ☒ Assignment:
 - a. ☒ Assignment Papers (cover sheet &
document(s))
 - b. ☐ Assignment is of record in parent
application No.
12. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
☐ Power of Attorney by assignee
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement (IDS) PTO-
1449
☐ Copies of IDS Citations (___ references)
15. ☐ Preliminary Amendment
16. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
17. ☐ Certified Copy of Priority Document(s)
18. ☐ Other:

19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information and in a preliminary
amendment, or in an Application Date Sheet under 37 CFR 1.76:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
- Prior application information: Examiner: _____ Group Art Unit: _____
- Recite complete dependency back to first parent application: _____

20. CORRESPONDENCE ADDRESS:
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By: Malvern U. Griffin
Date: **August 7, 2003**
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Facsimile: 404-853-8806

Reg. No. 38,899

I hereby certify that this correspondence is being deposited with the United States Postal Service in an Express Mail envelope
(EV330773015US) addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,
this 7th day of August, 2003.

Rebecca Smith
Rebecca Smith

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PATENT TRADEMARK OFFICE

FEE TRANSMITTAL

Attorney Docket No. 19730-0004

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): Lee et al.

Filing Date: August 7, 2003

Serial No.: To Be Assigned

Title: Structure and Manufacturing Method for Single-Wavelength and
Multi-Wavelength Distributed Feedback Lasers

The filing fee is calculated as shown below:

1. FILING FEE:

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$375		\$750	
<input type="checkbox"/> DESIGN FILING FEE	\$165		\$330	
<input type="checkbox"/> PLANT FILING FEE	\$260		\$520	
<input type="checkbox"/> REISSUE FILING FEE	\$375		\$750	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
SUBTOTAL (1)		\$375		

2. CLAIMS:

FOR:	SMALL ENTITY			LARGE ENTITY		
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	46 - 20 =	26	x 9 =	\$234	x 18 =	
INDEP. CLAIMS	4 - 3 =	1	x 42 =	\$42	x 84 =	
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =	\$140	+280 =	
SUBTOTAL ()				\$416		

3. ADDITIONAL FEES:

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input checked="" type="checkbox"/> OTHER - Assignment Recordation		\$40		
SUBTOTAL (3)		\$40		

TOTAL FILING FEES: \$831

A check is enclosed for the total amount: \$831

☒ Charge any additional fees required to Deposit Account 19-5029.

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Date: August 7, 2003